Table I. Unimolecular Rate Constants ( $\mathrm{s}^{-1}$ ) for Hopping

| T, K | H |  |  | D |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | CHO | VVD | present | CHO | VVD | present |
| 120 | $9.0 \times 10^{-8}$ | $3.5 \times 10^{-5}$ | $2.5 \times 10^{-5}$ | $6.3 \times 10^{-8}$ | $1.5 \times 10^{-6}$ | $7.8 \times 10^{-7}$ |
| 140 | $9.2 \times 10^{-5}$ | $7.1 \times 10^{-3}$ | $4.4 \times 10^{-3}$ | $6.5 \times 10^{-5}$ | $6.4 \times 10^{-4}$ | $4.2 \times 10^{-4}$ |
| 160 | $1.7 \times 10^{-2}$ | $4.6 \times 10^{-1}$ | $3.2 \times 10^{-1}$ | $1.2 \times 10^{-2}$ | $6.6 \times 10^{-2}$ | $5.0 \times 10^{-2}$ |
| 200 | $2.4 \times 10^{1}$ | $1.9 \times 10^{2}$ | $1.7 \times 10^{2}$ | $1.7 \times 10^{1}$ | $5.0 \times 10^{1}$ | $4.4 \times 10^{1}$ |
| 400 | $5.2 \times 10^{7}$ | $8.0 \times 10^{7}$ | $8.6 \times 10^{7}$ | $3.7 \times 10^{7}$ | $4.4 \times 10^{7}$ | $4.6 \times 10^{7}$ |
| 600 | $6.7 \times 10^{9}$ | $7.6 \times 10^{9}$ | $8.4 \times 10^{9}$ | $4.7 \times 10^{9}$ | $4.8 \times 10^{9}$ | $5.2 \times 10^{9}$ |
| 1000 | $3.3 \times 10^{11}$ | $3.2 \times 10^{11}$ | $3.6 \times 10^{11}$ | $2.3 \times 10^{11}$ | $2.2 \times 10^{11}$ | $2.5 \times 10^{11}$ |

Table II. Factors Contributing to the Ratio of the Present Hopping Rate Constant to the CHO Results for H

| $T, \mathrm{~K}$ | anharmonicity | bound-mode <br> quantization | tunneling | total |
| :---: | :---: | :---: | :---: | :---: |
| 120 | 0.83 | 22.1 | 15.3 | 280. |
| 140 | 0.85 | 11.7 | 4.8 | 47.9 |
| 160 | 0.87 | 7.4 | 2.9 | 19.0 |
| 200 | 0.89 | 4.1 | 1.88 | 6.8 |
| 400 | 0.95 | 1.52 | 1.15 | 1.65 |

potential of Gregory et al. ${ }^{15}$ The activated diffusion process consists of hopping between fourfold coordination sites (binding energy $\mathrm{BE}=40.2 \mathrm{kcal} / \mathrm{mol}$; distance from surface plane $z=1.14$ $\AA$ ). The saddlepoint is a two-fold bridge site ( $\mathrm{BE}=28.6 \mathrm{kcal} / \mathrm{mol}$, $z=1.68 \AA$ ). The polyatomic version of the reaction-path Hamiltonian, ${ }^{10,14,16}$ canonical variational transition-state theory, ${ }^{, 8-10,17}$ and the small-curvature-approximation SAG transmission coefficient ${ }^{9}, 10,18$ are generalized to the case of an absorbate on a surface and are used to calculate a unimolecular site-to-nearest-site hopping rate constant $k$; anharmonicity is included by the inde-pendent-normal-mode ${ }^{10,17}$ and WKB $^{13}$ approximations, and the small-curvature approximation accounts for the nonrectilinear multidimensional nature of the tunneling path, involving motion both parallel and perpendicular to the surface. The hopping rate constant can be converted to a two-dimensional diffusion coefficient under the assumption of uncorrelated hops ${ }^{19}$ by multiplying by $l^{2} / 4$, where $l$ is the hop length ( $2.624 \AA$ ).

The calculated hopping rate constants (including a factor of 4 for the number of equivalent hopping directions) are given in Table I, where they are compared to the results of VVD and to another set of calculations performed by us in which we neglected anharmonicity, quantization of bound vibrational modes, and tunneling. The latter calculation is abbreviated CHO (classical harmonic oscillator). Table I shows excellent agreement among the various methods at high $T$, but the two semiclassical rate constants are appreciably higher than the CHO result at low temperature. Considering the large deviations of these two sets of results from the CHO ones and also the fact that the three responsible effects (anharmonicity, bound-mode quantal effects, and tunneling) are implicit in the VVD work only through the effective potential, but are treated explicitly and separately by quite different methods in our work, the agreement of the two sets of semiclassical results within a factor of 1.6 for $T \geq 140 \mathrm{~K}$ and 1.9 for $T=120 \mathrm{~K}$ is quite encouraging.

Table II shows the three separate effects for surface diffusion of H . The last column is the ratio of the present rate constants to the CHO ones, and this ratio is a product of the first three factors. This table shows that the two quantal effects are more important than anharmonicity. Furthermore tunneling increases the rate by factors of 3-15 at $160-120 \mathrm{~K}$ and therefore greatly dominates the over-the-barrier contributions at these temperatures.

Primarily because of the tunneling contribution the present calculations show two of the same qualitative features present in

[^0]the low-temperature experiments of DiFoggio and Gomer. ${ }^{23}$ First, the kinetic isotope effects greatly exceed the classical value of 1.4 . Second, the Arrhenius plots become quite nonlinear at low temperature, with the deviation from the extrapolation of the hightemperature Arrhenius fit roughly comparable to the tunneling factor. An Arrhenius fit at 1000 K yields activation energies of 11.4 and $11.6 \mathrm{kcal} / \mathrm{mol}$ and preexponential factors of $1.12 \times 10^{14}$ and $8.31 \times 10^{13} \mathrm{~s}^{-1}$ for H and D, respectively. However, the activation energies decrease to 7.6 and $10.4 \mathrm{kcal} / \mathrm{mol}$ at 120 K .

We draw three significant conclusions from the present study: (i) The Gaussian-averaged effective potential method ${ }^{5-7}$ appears to be a reasonably accurate way to incorporate quantal effects into many-body molecular dynamics simulations. (ii) The reac-tion-path formulation of variational transition-state theory with semiclassical transmission coefficients appears to be a practical and accurate method for calculating surface diffusion coefficients and, as a corollary, probably also for calculating bulk diffusion coefficients, ${ }^{20}$ even for hydrogen atoms when quantal effects are very large. (iii) Tunneling does appear to provide the dominant mechanism for low-temperature surface diffusion of H on metals.

Acknowledgment. We thank Steve Valone, Art Voter, and Jim Doll for providing preprints, a subprogram, and many helpful discussions. This work was supported in part by the U.S. Department of Energy, Office of Basic Energy Sciences, through Contract DOE/DE-AC02-79ER10425.

Registry No. $\mathrm{H}_{2}, 1333-74-0 ; \mathrm{Cu}, 7440-50-8 ; \mathrm{D}_{2}, 7782-39-0$.
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## Facile Oxidation of Methoxide to Formaldehyde by a Heterocyclic Quinone

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The oxidation of conjugated alcohols to the corresponding carbonyl derivativves by high redox potential quinones is well documented. ${ }^{1,2}$ The postulated mechanism involves hydride transfer from the neutral alcohol to the quinone providing an oxocarbonium ion stabilized by conjugation and the hydroquinone anion. ${ }^{1,2 a}$ Rapid proton loss from the former species then provides the carbonyl product. Thus, the high-energy oxocarbonium ion which would arise from methanol precludes its oxidation by

[^1]Scheme I

were seen to be first order in [ $\mathrm{MeO}^{-}$] and [ $\mathrm{I}_{\mathrm{ox}}$ ] with $k_{1}=46 \mathrm{M}^{-1}$ $\mathrm{s}^{-1}$ and $k_{2}=6.6 \mathrm{M}^{-1} \mathrm{~s}^{-1}$, respectively. A preparative reaction mixture consisting of $1.5 \times 10^{-4} \mathrm{M} \mathrm{I}_{\mathrm{ox}}$ and $1.5 \times 10^{-3} \mathrm{M}$ methoxide yielded $41 \% I_{o x}$ when the completed reaction was reoxidized and the product isolated and purified. The ${ }^{1} \mathrm{H}$ NMR of the crude unoxidized product in $\mathrm{Me}_{2} \mathrm{SO}-d_{6}$ was that of authentic $\mathrm{IH}_{2}$. However, it was possible to isolate a mixture of 5 - and 6 -monomethoxylated derivatives of $\mathrm{I}_{0 x}$ from the crude reoxidized product ( $\sim 35 \%$ yield). The detection and assay of the formaldehyde accompanying $\mathrm{IH}_{2 \mathrm{~T}}$ formation was accomplished with the Hantzsch ${ }^{6}$ reagent; the average yield obtained from repeat experiments was $52 \pm 7 \%$. These products are proposed to form by competitive hydride and methoxide transfer as depicted in Scheme I. Employing the yield of formaldehyde, the second-order rate constants for hydride transfer and enolization are calculated as $k_{1}=24 \mathrm{M}^{-1} \mathrm{~s}^{-1}$ and $k_{2}=3.4 \mathrm{M}^{-1} \mathrm{~s}^{-1}$. Consistent with the hydride-transfer mechanism, the yield of formaldehyde in methoxide/methanol $-d_{4}$ was only $28 \%$ and associated with the kinetic isotope effects of $k_{1}(\mathrm{H}) / k_{1}(\mathrm{D})=3.7$ and $k_{2}(\mathrm{H}) / k_{2}(\mathrm{D})$ $=2.4$. Reactions carried out in methoxide $/$ methanol $-d_{4}$ did not result in observable deuterium incorporation at the 5- and 6positions of the product or at the 2 -position when the 2 -unsubstituted analogue of $\mathrm{I}_{\mathrm{ox}}{ }^{7}$ was employed. Thus hydride transfer to the 5 - and 6 -positions, like methoxide transfer, does not constitute a major pathway. These observations and the potential for anion stabilization suggest the formation of a 7a-adduct by either hydride or methoxide attack at this position. The latter may occur in a rapid equilibrium step but is not seen as being on the oxidation path (loc. cit., isotope effects). Hydride transfer to a carbonyl carbon, on the other hand, will result in a localized anion and is thus inconsistent with the facility of the reaction. Indeed the hydride transfer from methoxide to the carbonyl carbon of benzaldehyde occurs at only $7.4 \times 10^{-6} \mathrm{M}^{-1} \mathrm{~s}^{-1}$ at $100^{\circ} \mathrm{C}!^{8}$

Aspects of the oxidation mechanism depicted in Scheme I have parallels in the literature. Swain and co-workers ${ }^{8}$ have documented the formation of formaldehyde by hydride transfer from methoxide to benzaldehyde. Also, Farng and Bruice ${ }^{9}$ have documented carbon-carbon double-bond formation by hydride transfer from a carbanion to 5 -carbalumiflavin. Other mechanistic possibilities involving the transfer of a hydride equivalent (sequential hydrogen atom ${ }^{10}$ and radical transfer from methoxide) have not been rigorously disproven. An initial electron transfer from methoxide

[^2]is deemed thermodynamically unfeasible, ${ }^{11}$ however. Regarding the slow enolization of $\mathrm{IIH}_{\mathrm{T}}$ to $\mathrm{IH}_{2 \mathrm{~T}}$, Ogata $^{12}$ and co-workers have observed rapid equilibrium addition of a sulfur nucleophile to a fused benzoquinone followed by slow base-catalyzed enolization to the substituted hydroquinone in aqueous media.

Acknowledgment. This research was supported by a Faculty Grant-in-Aid from Arizona State University, a Cottrell Research Grant from Research Corporation, the donors of the Petroleum Research Fund, administered by the American Chemical Society, and by an award from the National Cancer Institute, DHHS (PHS 1 R01 CA 36876-01).
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## 6-Hydroxyanthranilic Acid: A New Shikimate Pathway Product Found in the Biosynthesis of Sarubicin A

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Sarubicin A, a quinoid antibiotic isolated from various strains of Streptomyces, ${ }^{2-4}$ has been characterized as 1 on the basis of physical data. 4,5 Confirmation was provided by a recent total synthesis. ${ }^{6}$ The ${ }^{1} \mathrm{H}$ NMR spectrum and the nonaromatic portion of the ${ }^{13} \mathrm{C}$ NMR spectrum of 1 have been assigned. ${ }^{7}$ We now report that the quinone portion of $\mathbf{1}$ is biosynthesized from 6hydroxyanthranilic acid, derived by an apparently new variation of the shikimate pathway.

Previous work at The Upjohn Co. had demonstrated that glucose 2 is the direct precursor to the tetrahydropyran portion of sarubicin A and had indicated a possible shikimate origin for the quinone ring. ${ }^{8}$ Building on this foundation, we carried out a fermentation of Streptomyces helicus (UC-5837) in the presence of ${ }^{18} \mathrm{O}_{2}$. A $100-\mathrm{mL}$ seed broth ${ }^{9}$ in a $500-\mathrm{mL}$ flask was inoculated with spores of S. helicus and incubated at $32^{\circ} \mathrm{C}$ for 24 h in a rotary shaker ( 225 rpm ). A $10-\mathrm{mL}$ portion was then added to each of two production broths ${ }^{10}$ ( 250 mL in 1-L Erlenmeyer flasks). These were connected in series via two sterile filters to a closed system containing a burette refillable with ${ }^{18} \mathrm{O}_{2}(50 \%$, obtained from Cambridge Isotopes, Inc.), a small air pump, and a $\mathrm{CO}_{2}$ trap (aqueous KOH ). Air was circulated at $2 \mathrm{~L} / \mathrm{min}$ while the fermentation flasks were shaken as described above. After 72 h the fermentation was stopped; the combined broths were adjusted to $\mathrm{pH} 3(1 \mathrm{~N} \mathrm{HCl})$, filtered, saturated with $\left(\mathrm{NH}_{4}\right)_{2} \mathrm{SO}_{4}$ $(260 \mathrm{~g})$, and extracted with three $500-\mathrm{mL}$ portions of EtOAc. The extracts were dried ( $\mathrm{Na}_{2} \mathrm{SO}_{4}$ ), filtered, concentrated, and chromatographed on silica gel. Elution with $10 \% \mathrm{MeOH} / \mathrm{CHCl}_{3}$ gave 15.8 mg of pure $\mathbf{1 a}$.

[^3]c-1
c-4 $\mathrm{CONH}_{2}$
c



A


Figure 1. Waltz decoupled ${ }^{13} \mathrm{C}$ NMR spectra, 100.6 MHz , of sarubicin A taken on a Bruker AM 400 spectrometer. (A) Natural abundance 1 $(\mathrm{SW}=22727 \mathrm{~Hz}, \mathrm{SI}=\mathrm{TD}=64 \mathrm{~K}, \mathrm{AQ}=1.44 \mathrm{~s}, \mathrm{NS}=24000, \mathrm{PW}$ $=36^{\circ}$ ). (B) ${ }^{18} \mathrm{O}$-labeled $1 \mathrm{a}(\mathrm{SW}=25000 \mathrm{~Hz}, \mathrm{SI}=\mathrm{TD}=128 \mathrm{~K}, \mathrm{AQ}$ $=2.62 \mathrm{~s}, \mathrm{NS}=25927, \mathrm{PW}=36^{\circ}$ ). (C) ${ }^{13} \mathrm{C}$-labeled $1 \mathrm{~b}(\mathrm{NS}=28000$, other parameters same as for (A)). The amplitude for the 177.5-181.4 ppm region is 5 times that of the $168.5-170.5 \mathrm{ppm}$ region in all three spectra.

Examination of the EI mass spectrum of 1a indicated that one ${ }^{18} \mathrm{O}$ label had been incorporated, and fragments ${ }^{7}$ at $m / z 252,235$, and 207 led us to believe that the label was in one of the quinone carbonyls. In order to identify the precise location of the label, we intended to use the expected isotope shift of the ${ }^{13} \mathrm{C}$ NMR resonance. ${ }^{11}$ However, it was first necessary to overcome the problem of carbonyl resonances $7-15 \mathrm{~Hz}$ wide that were encountered repeatedly with various samples of $\mathbf{1}$. Fortunately, when a warm, dilute aqueous solution of 1 was filtered through a small portion of Chelex, interfering paramagnetic ions were apparently removed. After lyophilization, the $100-\mathrm{MHz}^{13} \mathrm{C}$ NMR spectrum of a portion of the sample in $\mathrm{Me}_{2} \mathrm{SO}-d_{6}$ gave excellent narrow lines (Figure 1A). This was repeated for 1 a and the 178.50 ppm resonance was found to be accompanied by a smaller peak 0.03 ppm ( 3.45 Hz ) upfield (Figure 1B).
To assign the ${ }^{13} \mathrm{C}$ NMR resonances of the quinone ring, a second portion of the deionized natural abundance sample was exchanged 3 times with ethanol $-d_{1}$, dried thoroughly, and combined with an unexchanged, deionized sample in $\mathrm{Me}_{2} \mathrm{SO}-d_{6}$. From the deuterium-induced isotope shifts of the ${ }^{13} \mathrm{C}$ NMR resonances ${ }^{12}$ thus obtained, the resonances at 180.0 and 178.5 ppm could be unequivocally assigned to $\mathrm{C}-1$ and $\mathrm{C}-4$, respectively. The $\mathrm{C}-4$ resonance showed five additional lines (upfield shifts of $0.01,0.03$,

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